

## COMMERCIAL RELATIONSHIPS TRACKING FORM

Year: January – December 31, 2010

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Please complete the applicable rows in the following table. Monetary amounts are on an annual basis.

| Company:             | (1) A Research Grant or Contract from this Company Partially Supports My University Salary | (2) Educational Activities or Lectures for this Company Generates Revenue for Duke |                          | (3) Consulting or Other Services (Including CME or Other Non-CME) for this Company Generates Personal Income |                          |                          | (4) I Receive Significant Personal Royalties from this Company | (5) I Have Equity in this Company |                          | Comments: |
|----------------------|--|--|--------------------------|--|--------------------------|--------------------------|--|-----------------------------------|--------------------------|-----------|
|                      |  | < \$10K  | ≥ \$10K                  | < \$10K  | \$10-25K                 | ≥\$25K                   |  | > \$10K                           | > 1%                     |           |
| Amylin               | <input checked="" type="checkbox"/>  | <input type="checkbox"/>   | <input type="checkbox"/> | <input type="checkbox"/>   | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/>                                       | <input type="checkbox"/>          | <input type="checkbox"/> |           |
| AstraZeneca          | <input type="checkbox"/>   | <input type="checkbox"/>   | <input type="checkbox"/> | <input checked="" type="checkbox"/>  | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/>                                       | <input type="checkbox"/>          | <input type="checkbox"/> |           |
| Bayer-OrthoMcNeil    | <input type="checkbox"/>   | <input type="checkbox"/>   | <input type="checkbox"/> | <input checked="" type="checkbox"/>  | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/>                                       | <input type="checkbox"/>          | <input type="checkbox"/> |           |
| BMS                  | <input type="checkbox"/>   | <input type="checkbox"/>   | <input type="checkbox"/> | <input checked="" type="checkbox"/>  | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/>                                       | <input type="checkbox"/>          | <input type="checkbox"/> |           |
| Boehringer Ingelheim | <input type="checkbox"/>   | <input type="checkbox"/>   | <input type="checkbox"/> | <input checked="" type="checkbox"/>  | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/>                                       | <input type="checkbox"/>          | <input type="checkbox"/> |           |
| DaiichiSankyo        | <input type="checkbox"/>   | <input type="checkbox"/>   | <input type="checkbox"/> | <input checked="" type="checkbox"/>  | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/>                                       | <input type="checkbox"/>          | <input type="checkbox"/> |           |
| GSK                  | <input type="checkbox"/>   | <input type="checkbox"/>   | <input type="checkbox"/> | <input checked="" type="checkbox"/>  | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/>                                       | <input type="checkbox"/>          | <input type="checkbox"/> |           |
| Heart.org            | <input type="checkbox"/>   | <input type="checkbox"/>   | <input type="checkbox"/> | <input checked="" type="checkbox"/>  | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/>                                       | <input type="checkbox"/>          | <input type="checkbox"/> |           |
| J&J - Scios          | <input checked="" type="checkbox"/>  | <input type="checkbox"/>   | <input type="checkbox"/> | <input type="checkbox"/>   | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/>                                       | <input type="checkbox"/>          | <input type="checkbox"/> |           |

- (1) In addition to oversight role as DTMI Director, funding is provided for my effort in research grants or contracts for multi-center projects in which I serve as either the Chairman, Co-Chairman, Executive or Steering Committee member. A check in this column denotes that I am involved in such a research contract. Payments for the work performed for these contracts are made to the University. A portion of my salary as a faculty member, representative of % effort on the project, has been supported by these funds in the past year.
- (2) I provide educational activities that generate revenue from this company. All revenues from these activities are paid to the University and deposited into a fellowship fund. None of it is taken for personal income. The two columns represent annual payments of more or less than \$10,000 per year from this company.
- (3) I personally receive income from these companies. All consulting income is donated to non-profit organizations, with the majority going to the clinical research fellowship fund of the Duke Clinical Research Institute.
- (4) I personally receive royalties in excess of \$10,000 per year from this company.
- (5) I, or an immediate member of my family, have equity (with shareholder voting privileges) in this company of either > \$10,000 or > 1%.

